

# Grant Agreement Preparation Forms

Project number  
607842

Project title  
FINON— Female Investigators in Nonlinear Optical Nanoscopy - FINON

Call (part) identifier  
FP7-PEOPLE-2013-ITN

Funding scheme  
Marie Curie Actions— Initial Training Networks (ITN)

Implementation mode  
Multi-partner ITNs (ITN)

## INTRODUCTION

Grant Agreement Preparation Forms (GPF's) have been designed to facilitate the project negotiations and the production of the grant agreement. It is a main communication instruments between the co-ordinator representing the Consortium and the Commission and/or the REA 's Project Officer. Essentially the forms are used to identify the beneficiaries that will sign/accede to the grant agreement, to determine the eligible costs and European Union contribution and to electronically capture structured information on work packages, efforts, milestones and deliverables . The forms also include a standard declaration to be signed by each participating organisation.

To assist the filling in of the GPF's the following is made available:

- Annotations – these are attached to the standard forms and should be read carefully
- Pre-filled legal information (see below)
- NEF – NEF is an online tool to be used by the co-ordinator and the beneficiaries to edit the content of the GPF's

Legal information is held in the A2.1 form. This information is pre-filled by the Commission and/or the REA services using either information taken from the Commission database or from the proposal. Depending on the validity of this information, the organisation concerned may have to supply to the Commission and/or the REA with a so called "identification fiche" and supporting legal documents. For instructions on this, see the annotations to the A2.1 form.

The budget table (A3.1) is to be used in Part A of Annex I of the grant agreement.

# A1: Our project

Project Number <sup>1</sup>	607842	Project Acronym <sup>2</sup>	FINON
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## One form per project

### General information

Project title <sup>3</sup>	Female Investigators in Nonlinear Optical Nanoscopy - FINON		
Starting date <sup>4</sup>	01/10/2013		
Duration in months <sup>5</sup>	48		
Call (part) identifier <sup>6</sup>	FP7-PEOPLE-2013-ITN		
Marie Curie action-code	ITN : Initial Training Networks (ITN)	Scientific panel	PHY : Physics
Activity code(s) most relevant to your topic <sup>7</sup>	FP7-PEOPLE-2013-ITN : Marie-Curie Action: "Initial Training Networks"	Implementation mode	Multi-partner ITNs (ITN)

### Abstract <sup>9</sup> (max. 3000 char.)

The aim of this ITN is to take the category of Nonlinear Optical Microscopy (NOM) techniques into the nano-dimension, allowing for label-free three-dimensional (3D), dynamic imaging of macromolecular arrangements and nanostructures by probing inherent higher-order electronic and molecular vibrations with novel detection concepts. Specific S&T objectives are to (A) explore the use of nano-sized scanning probes, polarization or phase resolved detection schemes to enable nano-scale imaging, (B) benchmark their capabilities by fundamental studies and computations of molecular suprastructures and metallic nanostructures, and to (C) apply them in live-cell and fuel cell studies in order to introduce them as attractive instruments for the pharmaceutical-, nanotechnology-, and biosciences/industry. In particular, we will study (i) the formation of hierarchical structures of beta-amyloid, (ii) nonlinear emission by metallic nanoparticles/holes/antennas as potential nano-photonics devices, (iii) stem cell differentiation and metabolic expression in a 3D tissue model for drug screening and (iv) physico-chemical processes in fuel cell membranes. This sets requirements on a highly interdisciplinary and intersectoral working process. Consequently, the FINON ITN constitutes of partners from, and active interaction between, a wide range of disciplines spanning from front-line technology developers of laser instrumentation, microscopy, and nanophotonics to users of microscopy with extended experience and challenging requirements within the life-, chemical-, pharmaceutical- and material sciences. This forms an attractive training environment, with particular emphasis on early-stage and experienced researchers, offering unique networking opportunities and a vast variety of career paths.

# A2.1: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	MPG
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One form per participant

## Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999990267
Participant legal name <sup>13</sup>	MAX PLANCK GESELLSCHAFT ZUR FOERDERUNG DER WISSENSCHAFTEN E.V.
Participant short name <sup>11</sup>	MPG
Status of validation <sup>14</sup>	VALID

Legal address of the participant			
Street name <sup>15</sup>	Hofgartenstrasse	Number <sup>15</sup>	8
Town <sup>15</sup>	MUENCHEN		
Postal code / Cedex <sup>15</sup>	80539		
Country <sup>16</sup>	Germany		
Internet homepage (optional)	www.mpg.de		

Registration data of the participant	
Legal registration number <sup>17</sup>	VR13378B
Place of registration <sup>17</sup>	CHARLOTTENBURG
Date of registration <sup>17</sup>	26/02/1948
VAT number <sup>18</sup>	DE129517720
Legal form <sup>19</sup>	EV

Legal Entity Appointed Representative (LEAR) <sup>20</sup>			
Family name	HESSE	First name(s)	Ruediger
Phone 1 <sup>21</sup>	+3225033203	Phone 2 <sup>21</sup>	+32496743354
E-mail	r.hesse@max-planck-brussels.eu	Fax <sup>21</sup>	+3225033162

# A2.2: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	MPG
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One form per participant

## Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
      - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup> :

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	MPG
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project							
Family name	Tóvári			First name(s)	Zsolt		
Title <sup>34</sup>	Mr.			Gender <sup>35</sup> (Female – F / Male – M)	M		
Position in the organisation <sup>36</sup>				Head of Staff Unit Managing Director			
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>				Max Planck Institute for Polymer Research			
Address (if different from the legal address) <sup>12</sup>							
Street name <sup>15</sup>	Ackermannweg			Number <sup>15</sup>	10		
Town <sup>15</sup>	Mainz						
Postal code / Cedex <sup>15</sup>	55128						
Country <sup>16</sup>	Germany						
Phone 1 <sup>21</sup>	+496131379411			Phone 2 <sup>21</sup>			
E-mail	tovari@mpip-mainz.mpg.de			Fax <sup>21</sup>	+496131379361		

Second authorised representative to sign the grant agreement or to commit the organisation for this project							
Family name	Bonn			First name(s)	Mischa		
Title <sup>34</sup>	Prof.			Gender <sup>35</sup> (Female – F / Male – M)	M		
Position in the organisation <sup>36</sup>				Managing Director			
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>				Max Planck Institute for Polymer Research			
Address (if different from the legal address) <sup>12</sup>							
Street name <sup>15</sup>	Ackermannweg			Number <sup>15</sup>	10		
Town <sup>15</sup>	Mainz						
Postal code / Cedex <sup>15</sup>	55128						
Country <sup>16</sup>	Germany						
Phone 1 <sup>21</sup>	+496131379160			Phone 2 <sup>21</sup>			
E-mail	bonn@mpip-mainz.mpg.de			Fax <sup>21</sup>	+496131379360		

# A2.4: How to contact us

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	MPG
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One form per participant

Contact persons for this project

Person in charge of administrative, legal and financial aspects in this project			
Family name	Tóvári	First name(s)	Zsolt
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Head of Staff Unit Managing Director		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Max Planck Institute for Polymer Research		
Address (if different from the legal address)			
Street name <sup>15</sup>	Ackermannweg	Number <sup>15</sup>	10
Town <sup>15</sup>	Mainz		
Postal code / Cedex <sup>15</sup>	55128		
Country <sup>16</sup>	Germany		
Phone 1 <sup>21</sup>	+496131379411	Phone 2 <sup>21</sup>	
E-mail	tovari@mpip-mainz.mpg.de	Fax <sup>21</sup>	+496131379361

Person in charge of scientific and technical/technological aspects in this project			
Family name	Domke	First name(s)	Katrin
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>35</sup>	Independent Research Group Leader		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Molecular Spectroscopy		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Ackermannweg	Number <sup>15</sup>	10
Town <sup>15</sup>	Mainz		
Postal code / Cedex <sup>15</sup>	55128		
Country <sup>16</sup>	Germany		
Phone 1 <sup>21</sup>	+49 6131 379476	Phone 2 <sup>21</sup>	
E-mail	domke@mpip-mainz.mpg.de	Fax <sup>21</sup>	+49 6131379360

# A2.5: Our commitment

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	MPG
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One form per participant

Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles referred to in Articles 106(1), 107 and 109(2)(a) of the Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 [OJ L298, 26.10.2012, p.1].

As a consequence, I certify that:

- In compliance with article 106(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it or persons having powers of representation, decision making or control over it have been convicted of an offence concerning their professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify including by decisions of the EIB and international organisations ;
  - d) it is not in compliance with its obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it or persons having powers of representation, decision making or control over it have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity, where such illegal activity is detrimental to the Union's financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 109(1) of the above-mentioned regulation.
- In compliance with article 107 of the abovementioned Regulation , and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EU model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission and/or the REA may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Commission . Such penalties shall



# A2.5: Our commitment

be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

Participant legal name <sup>13</sup>	MAX PLANCK GESELLSCHAFT ZUR FOERDERUNG DER WISSENSCHAFTEN E.V.		
Family name of authorised representative	Tóvári	First Name(s)	Zsolt
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Bonn	First Name(s)	Mischa
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.6: Data Protection & Coordination Role

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	MPG
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## Signed only by the Coordinator – Participant No. 1

As co-ordinator on behalf of all proposers I take note of the following statement:

“All personal data (such as names, addresses, CVs, etc.) will be processed in accordance with Regulation (EC) No. 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the institutions and bodies of the European Union and on the free movement of such data (Official Journal L 8, 12.01.2001). Such data will be processed solely in connection with the assessment of the project by the Commission and/or the REA department responsible for FP7 . On request, proposers may obtain access to their personal data and correct or complete them. Any questions relating to the processing of these data can be addressed to the project officer. Proposers may lodge a complaint against the processing of their personal data with the European Data Protection Supervisor at any time.”

Any sensitive information or material used as background or produced as foreground in this project is covered by a Security Aspect Letter (SAL) <sup>39</sup> which is provided. If sensitive information or material is found to be required at a later stage of the project, amendment to the grant agreement will be requested and a SAL will be provided.

I also certify that our organisation is committed to act as the coordinator of this project.

Participant legal name <sup>13</sup>	MAX PLANCK GESELLSCHAFT ZUR FOERDERUNG DER WISSENSCHAFTEN E.V.		
Family name of authorised representative	Tóvári	First Name(s)	Zsolt
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Bonn	First Name(s)	Mischa
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.1: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	ASTRAZENECA AB
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## One form per participant

### Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999941379
Participant legal name <sup>13</sup>	ASTRAZENECA AB
Participant short name <sup>11</sup>	ASTRAZENECA AB
Status of validation <sup>14</sup>	VALID

Legal address of the participant			
Street name <sup>15</sup>	Vastra Maelarhamnen	Number <sup>15</sup>	
Town <sup>15</sup>	SODERTAELJE		
Postal code / Cedex <sup>15</sup>	151 85		
Country <sup>16</sup>	Sweden		
Internet homepage (optional)	www.astrazeneca.com		

Registration data of the participant	
Legal registration number <sup>17</sup>	556011-7482
Place of registration <sup>17</sup>	SODERTAELJE
Date of registration <sup>17</sup>	31/10/1993
VAT number <sup>18</sup>	SE556011748201
Legal form <sup>19</sup>	AB

Legal Entity Appointed Representative (LEAR) <sup>20</sup>			
Family name	HALL	First name(s)	Per
Phone 1 <sup>21</sup>	+46317762070	Phone 2 <sup>21</sup>	
E-mail	per.hall@astrazeneca.com	Fax <sup>21</sup>	+46317763700

# A2.2: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	ASTRAZENECA AB
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
      - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup> :

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	ASTRAZENECA AB
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Snowden	First name(s)	Mike
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Head of Discovery Sciences		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Discovery Sciences AstraZeneca R&D		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Macclesfield	Number <sup>15</sup>	
Town <sup>15</sup>	Cheshire		
Postal code / Cedex <sup>15</sup>	SK10 4TF		
Country <sup>16</sup>	United Kingdom		
Phone 1 <sup>21</sup>	+44 1625 517 077	Phone 2 <sup>21</sup>	
E-mail	mike.snowden@astrazeneca.com	Fax <sup>21</sup>	

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Mayr	First name(s)	Lorenz
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	VP Reagents and Assay Development, Discovery Sciences		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Reagents & Assay Development, Discovery Sciences		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Macclesfield	Number <sup>15</sup>	52G16
Town <sup>15</sup>	Cheshire		
Postal code / Cedex <sup>15</sup>	SK10 4TF		
Country <sup>16</sup>	United Kingdom		
Phone 1 <sup>21</sup>	+44 1625 518811	Phone 2 <sup>21</sup>	
E-mail	lorenz.mayr@astrazeneca.com	Fax <sup>21</sup>	

# A2.4: How to contact us

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	ASTRAZENECA AB
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One form per participant

Contact persons for this project

Person in charge of administrative, legal and financial aspects in this project			
Family name	Kruusval	First name(s)	Johanna
Title <sup>34</sup>	Ms.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>36</sup>		Global Functional Analyst	
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		RGD Finance	
Address (if different from the legal address)			
Street name <sup>15</sup>	Pepparedsleden	Number <sup>15</sup>	1
Town <sup>15</sup>	Mölnadal		
Postal code / Cedex <sup>15</sup>	43153		
Country <sup>16</sup>	Sweden		
Phone 1 <sup>21</sup>	+46 31 7064504	Phone 2 <sup>21</sup>	
E-mail	Johanna.Kruusval@astrazeneca.com	Fax <sup>21</sup>	

Person in charge of scientific and technical/technological aspects in this project			
Family name	Forslöw	First name(s)	Anna
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>35</sup>		Senior Scientist	
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		Discovery Sciences, Cellular Reagents and Assay development	
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Pepparedsleden	Number <sup>15</sup>	1
Town <sup>15</sup>	Mölnadal		
Postal code / Cedex <sup>15</sup>	43153		
Country <sup>16</sup>	Sweden		
Phone 1 <sup>21</sup>	+46 31 7064018	Phone 2 <sup>21</sup>	
E-mail	anna.forslow@astrazeneca.com	Fax <sup>21</sup>	

# A2.5: Our commitment

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	ASTRAZENECA AB
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One form per participant

Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles referred to in Articles 106(1), 107 and 109(2)(a) of the Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 [OJ L298, 26.10.2012, p.1].

As a consequence, I certify that:

- In compliance with article 106(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it or persons having powers of representation, decision making or control over it have been convicted of an offence concerning their professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify including by decisions of the EIB and international organisations ;
  - d) it is not in compliance with its obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it or persons having powers of representation, decision making or control over it have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity, where such illegal activity is detrimental to the Union's financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 109(1) of the above-mentioned regulation.
- In compliance with article 107 of the abovementioned Regulation , and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EU model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission and/or the REA may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Commission . Such penalties shall

# A2.5: Our commitment

be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

Participant legal name <sup>13</sup>	ASTRAZENECA AB		
Family name of authorised representative	Snowden	First Name(s)	Mike
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Mayr	First Name(s)	Lorenz
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	



# A2.1: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	CU
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## One form per participant

### Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999979694
Participant legal name <sup>13</sup>	CARDIFF UNIVERSITY
Participant short name <sup>11</sup>	CU
Status of validation <sup>14</sup>	VALID

Legal address of the participant			
Street name <sup>15</sup>	NEWPORT ROAD	Number <sup>15</sup>	30-36
Town <sup>15</sup>	CARDIFF		
Postal code / Cedex <sup>15</sup>	CF24 ODE		
Country <sup>16</sup>	United Kingdom		
Internet homepage (optional)	www.cardiff.ac.uk		

Registration data of the participant	
Legal registration number <sup>17</sup>	RC000089
Place of registration <sup>17</sup>	CARDIFF
Date of registration <sup>17</sup>	26/09/1988
VAT number <sup>18</sup>	GB615860927
Legal form <sup>19</sup>	GB18

Legal Entity Appointed Representative (LEAR) <sup>20</sup>			
Family name	Jones	First name(s)	Sian
Phone 1 <sup>21</sup>	+442920870171	Phone 2 <sup>21</sup>	
E-mail	european-research@cardiff.ac.uk	Fax <sup>21</sup>	+442920874189

# A2.2: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	CU
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
    - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup> :

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	CU
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### One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Jones	First name(s)	Geraint. W.
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>		Director of Research & Commercial Division	
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		Research & Commercial Division	
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+44 2 920874837	Phone 2 <sup>21</sup>	
E-mail	jonesgw@cardiff.ac.uk	Fax <sup>21</sup>	+44 2 920875834

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Bourne	First name(s)	Nick
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>		Deputy Director Commercial Development	
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		Research & Commercial Division	
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+44 2 920875042	Phone 2 <sup>21</sup>	
E-mail	bourne@cardiff.ac.uk	Fax <sup>21</sup>	

# A2.4: How to contact us

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	CU
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One form per participant

Contact persons for this project

Person in charge of administrative, legal and financial aspects in this project			
Family name	Sexton	First name(s)	Keith
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Research Development Officer		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Research & Commercial Division		
Address (if different from the legal address)			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+44 2 920879288	Phone 2 <sup>21</sup>	
E-mail	sextonk@cardiff.ac.uk	Fax <sup>21</sup>	

Person in charge of scientific and technical/technological aspects in this project			
Family name	Borri	First name(s)	Paola
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>35</sup>	Professor		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	School of Biosciences		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Biomedical Sciences Building, Museum Avenue	Number <sup>15</sup>	
Town <sup>15</sup>	Cardiff		
Postal code / Cedex <sup>15</sup>	CF10 3AX		
Country <sup>16</sup>	United Kingdom		
Phone 1 <sup>21</sup>	+44 2 920879356	Phone 2 <sup>21</sup>	
E-mail	borrip@cardiff.ac.uk	Fax <sup>21</sup>	

# A2.5: Our commitment

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	CU
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One form per participant

Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles referred to in Articles 106(1), 107 and 109(2)(a) of the Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 [OJ L298, 26.10.2012, p.1].

As a consequence, I certify that:

- In compliance with article 106(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it or persons having powers of representation, decision making or control over it have been convicted of an offence concerning their professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify including by decisions of the EIB and international organisations ;
  - d) it is not in compliance with its obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it or persons having powers of representation, decision making or control over it have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity, where such illegal activity is detrimental to the Union's financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 109(1) of the above-mentioned regulation.
- In compliance with article 107 of the abovementioned Regulation , and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EU model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission and/or the REA may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Commission . Such penalties shall

# A2.5: Our commitment

be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

Participant legal name <sup>13</sup>	CARDIFF UNIVERSITY		
Family name of authorised representative	Jones	First Name(s)	Geraint. W.
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Bourne	First Name(s)	Nick
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.1: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	EPFL
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## One form per participant

### Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999973971
Participant legal name <sup>13</sup>	ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE
Participant short name <sup>11</sup>	EPFL
Status of validation <sup>14</sup>	VALID

Legal address of the participant			
Street name <sup>15</sup>	BATIMENT CE 3316 STATION 1	Number <sup>15</sup>	
Town <sup>15</sup>	LAUSANNE		
Postal code / Cedex <sup>15</sup>	1015		
Country <sup>16</sup>	Switzerland		
Internet homepage (optional)	www.epfl.ch		

Registration data of the participant	
Legal registration number <sup>17</sup>	414110
Place of registration <sup>17</sup>	BERN
Date of registration <sup>17</sup>	04/10/1991
VAT number <sup>18</sup>	CHE116075613TVA
Legal form <sup>19</sup>	BA2

Legal Entity Appointed Representative (LEAR) <sup>20</sup>			
Family name	Vandevyver	First name(s)	Caroline
Phone 1 <sup>21</sup>	+41216934977	Phone 2 <sup>21</sup>	
E-mail	caroline.vandevyver@epfl.ch	Fax <sup>21</sup>	+41216935583

# A2.2: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	EPFL
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
    - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup> :

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>



# A2.3: Authorised Representatives

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	EPFL
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Mortensen	First name(s)	Andreas
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Vice-Provost for Research		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	EPFL VPAA DAR		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Station 7 Avenue Piccard	Number <sup>15</sup>	
Town <sup>15</sup>	Lausanne		
Postal code / Cedex <sup>15</sup>	1015		
Country <sup>16</sup>	Switzerland		
Phone 1 <sup>21</sup>	+4121693 49 77	Phone 2 <sup>21</sup>	
E-mail	Research.office@epfl.ch	Fax <sup>21</sup>	+4121693 55 83

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Vaccaro	First name(s)	Luciana
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>36</sup>	Head of Grants Office		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>			
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	Station 7
Town <sup>15</sup>	Lausanne		
Postal code / Cedex <sup>15</sup>	1015		
Country <sup>16</sup>	Switzerland		
Phone 1 <sup>21</sup>	+41 21 693 55 82	Phone 2 <sup>21</sup>	
E-mail	luciana.vaccaro@epfl.ch	Fax <sup>21</sup>	+41 21 693 55 83

# A2.4: How to contact us

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	EPFL
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One form per participant

Contact persons for this project

Person in charge of administrative, legal and financial aspects in this project			
Family name	Veselinov	First name(s)	Rebecca
Title <sup>34</sup>	Ms.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>36</sup>	Assistant		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Laboratory for fundamental BioPhotonics		
Address (if different from the legal address)			
Street name <sup>15</sup>	BM4112 - Station 17	Number <sup>15</sup>	
Town <sup>15</sup>	Lausanne		
Postal code / Cedex <sup>15</sup>	1015		
Country <sup>16</sup>	Switzerland		
Phone 1 <sup>21</sup>	+41 21 6931042	Phone 2 <sup>21</sup>	
E-mail	rebecca.veselinov@epfl.ch	Fax <sup>21</sup>	

Person in charge of scientific and technical/technological aspects in this project			
Family name	Roke	First name(s)	Sylvie
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>35</sup>	Professor		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Laboratory for fundamental BioPhotonics		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	BM4112 - Station 17	Number <sup>15</sup>	
Town <sup>15</sup>	Lausanne		
Postal code / Cedex <sup>15</sup>	1015		
Country <sup>16</sup>	Switzerland		
Phone 1 <sup>21</sup>	+41 21 6931191	Phone 2 <sup>21</sup>	
E-mail	sylvie.roke@epfl.ch	Fax <sup>21</sup>	

# A2.5: Our commitment

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	EPFL
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One form per participant

Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles referred to in Articles 106(1), 107 and 109(2)(a) of the Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 [OJ L298, 26.10.2012, p.1].

As a consequence, I certify that:

- In compliance with article 106(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it or persons having powers of representation, decision making or control over it have been convicted of an offence concerning their professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify including by decisions of the EIB and international organisations ;
  - d) it is not in compliance with its obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it or persons having powers of representation, decision making or control over it have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity, where such illegal activity is detrimental to the Union's financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 109(1) of the above-mentioned regulation.
- In compliance with article 107 of the abovementioned Regulation , and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EU model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission and/or the REA may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Commission . Such penalties shall

# A2.5: Our commitment

be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

Participant legal name <sup>13</sup>	ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE		
Family name of authorised representative	Mortensen	First Name(s)	Andreas
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Vaccaro	First Name(s)	Luciana
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.1: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	CNRS
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## One form per participant

### Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999997930
Participant legal name <sup>13</sup>	CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE
Participant short name <sup>11</sup>	CNRS
Status of validation <sup>14</sup>	VALID

Legal address of the participant			
Street name <sup>15</sup>	Rue Michel -Ange	Number <sup>15</sup>	3
Town <sup>15</sup>	PARIS		
Postal code / Cedex <sup>15</sup>	75794		
Country <sup>16</sup>	France		
Internet homepage (optional)	www.cnrs.fr		

Registration data of the participant	
Legal registration number <sup>17</sup>	180089013
Place of registration <sup>17</sup>	PARIS
Date of registration <sup>17</sup>	19/10/1939
VAT number <sup>18</sup>	FR40180089013
Legal form <sup>19</sup>	UNK

Legal Entity Appointed Representative (LEAR) <sup>20</sup>			
Family name	Dayez-Burgeon	First name(s)	Pascal
Phone 1 <sup>21</sup>	+3225451150	Phone 2 <sup>21</sup>	
E-mail	derci.lear.2014@cnrs.fr	Fax <sup>21</sup>	+3225068893

# A2.2: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	CNRS
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
    - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup> :

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	CNRS
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	HERMES	First name(s)	Younis
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Délégué Régional		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	CNRS Délégation Provence et Corse		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Chemin Joseph Aiguier	Number <sup>15</sup>	31
Town <sup>15</sup>	Marseille		
Postal code / Cedex <sup>15</sup>	13402		
Country <sup>16</sup>	France		
Phone 1 <sup>21</sup>	+33 491164008	Phone 2 <sup>21</sup>	
E-mail	spv@dr12.cnrs.fr	Fax <sup>21</sup>	

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name		First name(s)	
Title <sup>34</sup>		Gender <sup>35</sup> (Female – F / Male – M)	
Position in the organisation <sup>36</sup>			
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>			
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>		Phone 2 <sup>21</sup>	
E-mail		Fax <sup>21</sup>	

# A2.4: How to contact us

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	CNRS
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One form per participant

Contact persons for this project

Person in charge of administrative, legal and financial aspects in this project				
Family name	SAINT-CRICQ		First name(s)	Béatrice
Title <sup>34</sup>	Mrs.	Gender <sup>35</sup> (Female – F / Male – M)	F	
Position in the organisation <sup>36</sup>		Responsable du Service Partenariat et Valorisation		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		CNRS Délégation Provence et Corse		
Address (if different from the legal address)				
Street name <sup>15</sup>	Chemin Joseph Aiguier	Number <sup>15</sup>	31	
Town <sup>15</sup>	Marseille			
Postal code / Cedex <sup>15</sup>	13402			
Country <sup>16</sup>	France			
Phone 1 <sup>21</sup>	+33 491164008	Phone 2 <sup>21</sup>		
E-mail	spv@dr12.cnrs.fr	Fax <sup>21</sup>	+33 491779304	

Person in charge of scientific and technical/technological aspects in this project				
Family name	Brasselet		First name(s)	Sophie
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	F	
Position in the organisation <sup>35</sup>		Director of research		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		Institut Fresnel		
Address (if different from the legal address) <sup>12</sup>				
Street name <sup>15</sup>	Université Aix Marseille, Domaine universitaire St Jérôme		Number <sup>15</sup>	
Town <sup>15</sup>	Marseille			
Postal code / Cedex <sup>15</sup>	13397			
Country <sup>16</sup>	France			
Phone 1 <sup>21</sup>	+33 491288494	Phone 2 <sup>21</sup>		
E-mail	sophie.brasselet@fresnel.fr	Fax <sup>21</sup>	+33 491288067	



# A2.5: Our commitment

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	CNRS
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One form per participant

Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles referred to in Articles 106(1), 107 and 109(2)(a) of the Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 [OJ L298, 26.10.2012, p.1].

As a consequence, I certify that:

- In compliance with article 106(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it or persons having powers of representation, decision making or control over it have been convicted of an offence concerning their professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify including by decisions of the EIB and international organisations ;
  - d) it is not in compliance with its obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it or persons having powers of representation, decision making or control over it have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity, where such illegal activity is detrimental to the Union's financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 109(1) of the above-mentioned regulation.
- In compliance with article 107 of the abovementioned Regulation , and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EU model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission and/or the REA may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Commission . Such penalties shall

# A2.5: Our commitment

be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

Participant legal name <sup>13</sup>	CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE		
Family name of authorised representative	HERMES	First Name(s)	Younis
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.1: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	CHALMERS
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## One form per participant

### Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999980373
Participant legal name <sup>13</sup>	CHALMERS TEKNISKA HOEGSKOLA AB
Participant short name <sup>11</sup>	CHALMERS
Status of validation <sup>14</sup>	VALID

Legal address of the participant			
Street name <sup>15</sup>	-	Number <sup>15</sup>	
Town <sup>15</sup>	GOETEBORG		
Postal code / Cedex <sup>15</sup>	41296		
Country <sup>16</sup>	Sweden		
Internet homepage (optional)	www.chalmers.se		

Registration data of the participant	
Legal registration number <sup>17</sup>	5564795598
Place of registration <sup>17</sup>	Bolagsverket
Date of registration <sup>17</sup>	27/12/1993
VAT number <sup>18</sup>	SE556479559801
Legal form <sup>19</sup>	AB

Legal Entity Appointed Representative (LEAR) <sup>20</sup>			
Family name	Stenudd	First name(s)	Kurt
Phone 1 <sup>21</sup>	+46317724046	Phone 2 <sup>21</sup>	
E-mail	kurt.stenudd@chalmers.se	Fax <sup>21</sup>	+46317722639

# A2.2: Who we are

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	CHALMERS
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
      - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup> :

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	CHALMERS
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Hohmann	First name(s)	Stefan
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Head of the Department of Biology and Biological Engineering		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Department of Biology and Biological Engineering		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+460313608488	Phone 2 <sup>21</sup>	
E-mail	Stefan.Hohmann@chalmers.se	Fax <sup>21</sup>	

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name		First name(s)	
Title <sup>34</sup>		Gender <sup>35</sup> (Female – F / Male – M)	
Position in the organisation <sup>36</sup>			
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>			
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>		Phone 2 <sup>21</sup>	
E-mail		Fax <sup>21</sup>	

# A2.4: How to contact us

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	CHALMERS
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One form per participant

Contact persons for this project

Person in charge of administrative, legal and financial aspects in this project			
Family name	Markström	First name(s)	Martin
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Head of Administration		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Department of Biology and Biological Engineering		
Address (if different from the legal address)			
Street name <sup>15</sup>	Kemigården	Number <sup>15</sup>	4
Town <sup>15</sup>	Göteborg		
Postal code / Cedex <sup>15</sup>	412 96		
Country <sup>16</sup>	Sweden		
Phone 1 <sup>21</sup>	+46 31 772 38 31	Phone 2 <sup>21</sup>	
E-mail	Martin.Markstrom@chalmers.se	Fax <sup>21</sup>	

Person in charge of scientific and technical/technological aspects in this project			
Family name	Enejder	First name(s)	Annika
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>35</sup>	Senior Researcher		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Department of Chemical and Biological Engineering		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+46 31 7723840	Phone 2 <sup>21</sup>	
E-mail	enejder@chalmers.se	Fax <sup>21</sup>	

# A2.5: Our commitment

Project number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	CHALMERS
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One form per participant

Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles referred to in Articles 106(1), 107 and 109(2)(a) of the Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 [OJ L298, 26.10.2012, p.1].

As a consequence, I certify that:

- In compliance with article 106(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it or persons having powers of representation, decision making or control over it have been convicted of an offence concerning their professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify including by decisions of the EIB and international organisations ;
  - d) it is not in compliance with its obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it or persons having powers of representation, decision making or control over it have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity, where such illegal activity is detrimental to the Union's financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 109(1) of the above-mentioned regulation.
- In compliance with article 107 of the abovementioned Regulation , and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EU model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission and/or the REA may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission and/or the REA as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Commission . Such penalties shall

# A2.5: Our commitment

be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

Participant legal name <sup>13</sup>	CHALMERS TEKNISKA HOEGSKOLA AB		
Family name of authorised representative	Hohmann	First Name(s)	Stefan
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	



# A3.1: Overall Indicative Project Deliverables

Project Number <sup>1</sup>	607842	Project Acronym <sup>2</sup>	FINON
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One Form per Project

	Initial Training 0-5 years						Total
	Early-Stage researchers			Experienced researchers			
	Months	Researchers	% Fixed amount contract (B)	Months	Researchers	% Fixed amount contract (B)	Months
MPG	72	2	0%	0	0	0%	72
ASTRAZENECA AB	36	1	0%	0	0	0%	36
CU	72	2	0%	0	0	0%	72
EPFL	72	2	0%	0	0	0%	72
CNRS	72	2	0%	0	0	0%	72
CHALMERS	108	3	0%	0	0	0%	108
Overall Total	432	12	0%	0	0	0%	432

## Overall Maximum European Union Contribution

Project Number <sup>1</sup>	607842	Project Acronym <sup>2</sup>	FINON
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One Form per Project

	Living allowance (1)	Mobility allowance (2)	Contribution to training expenses of eligible researchers and research/transfer of knowledge programme expenses(3)	Management activities (including audit certification) (4)	Contribution to overheads (5)	Total
Year 1	400,197.00	107,421.30	194,400.00	86,766.25	78,878.45	867,663.00
Year 2	533,596.00	143,228.40	259,200.00	86,766.25	102,279.07	1,125,069.72
Year 3	533,596.00	143,228.40	259,200.00	86,766.25	102,279.07	1,125,069.72
Year 4	133,399.00	35,807.10	64,800.00	86,766.25	32,077.23	352,849.58
Total	1,600,788.00	429,685.20	777,600.00	347,065.00	315,513.82	3,470,652.02

# A3.3: Contribution per participant

Project Number <sup>1</sup>	607842	Project Acronym <sup>2</sup>	FINON
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One Form per Project

## MAX PLANCK GESELLSCHAFT ZUR FOERDERUNG DER WISSENSCHAFTEN E.V.(MPG)

	Living allowance (1)	Mobility allowance (2)	Contribution to the research/ training/ transfer of knowledge programme expenses (3)	Management activities (including audit certification) (4)	Contribution to overheads (5)	Total
Year 1	54,036.00	14,504.40	32,400.00	46,766.25	14,770.66	162,477.31
Year 2	72,048.00	19,339.20	43,200.00	46,766.25	18,135.35	199,488.80
Year 3	72,048.00	19,339.20	43,200.00	46,766.25	18,135.35	199,488.80
Year 4	18,012.00	4,834.80	10,800.00	46,766.25	8,041.30	88,454.35
Total	216,144.00	58,017.60	129,600.00	187,065.00	59,082.66	649,909.26

## ASTRAZENECA AB(ASTRAZENECA AB)

	Living allowance (1)	Mobility allowance (2)	Contribution to the research/ training/ transfer of knowledge programme expenses (3)	Management activities (including audit certification) (4)	Contribution to overheads (5)	Total
Year 1	33,801.00	9,072.90	16,200.00	2,500.00	6,157.39	67,731.29
Year 2	45,068.00	12,097.20	21,600.00	2,500.00	8,126.52	89,391.72
Year 3	45,068.00	12,097.20	21,600.00	2,500.00	8,126.52	89,391.72
Year 4	11,267.00	3,024.30	5,400.00	2,500.00	2,219.13	24,410.43
Total	135,204.00	36,291.60	64,800.00	10,000.00	24,629.56	270,925.16

# A3.3: Contribution per participant

## CARDIFF UNIVERSITY(CU)

	Living allowance (1)	Mobility allowance (2)	Contribution to the research/ training/ transfer of knowledge programme expenses (3)	Management activities (including audit certification) (4)	Contribution to overheads (5)	Total
Year 1	76,608.00	20,563.20	32,400.00	5,000.00	13,457.12	148,028.32
Year 2	102,144.00	27,417.60	43,200.00	5,000.00	17,776.16	195,537.76
Year 3	102,144.00	27,417.60	43,200.00	5,000.00	17,776.16	195,537.76
Year 4	25,536.00	6,854.40	10,800.00	5,000.00	4,819.04	53,009.44
Total	306,432.00	82,252.80	129,600.00	20,000.00	53,828.48	592,113.28

## ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE(EPFL)

	Living allowance (1)	Mobility allowance (2)	Contribution to the research/ training/ transfer of knowledge programme expenses (3)	Management activities (including audit certification) (4)	Contribution to overheads (5)	Total
Year 1	68,172.00	18,298.80	32,400.00	5,000.00	12,387.08	136,257.88
Year 2	90,896.00	24,398.40	43,200.00	5,000.00	16,349.44	179,843.84
Year 3	90,896.00	24,398.40	43,200.00	5,000.00	16,349.44	179,843.84
Year 4	22,724.00	6,099.60	10,800.00	5,000.00	4,462.36	49,085.96
Total	272,688.00	73,195.20	129,600.00	20,000.00	49,548.32	545,031.52

# A3.3: Contribution per participant

## CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE(CNRS)

	Living allowance (1)	Mobility allowance (2)	Contribution to the research/ training/ transfer of knowledge programme expenses (3)	Management activities (including audit certification) (4)	Contribution to overheads (5)	Total
Year 1	66,177.00	17,763.30	32,400.00	5,000.00	12,134.03	133,474.33
Year 2	88,236.00	23,684.40	43,200.00	5,000.00	16,012.04	176,132.44
Year 3	88,236.00	23,684.40	43,200.00	5,000.00	16,012.04	176,132.44
Year 4	22,059.00	5,921.10	10,800.00	5,000.00	4,378.01	48,158.11
Total	264,708.00	71,053.20	129,600.00	20,000.00	48,536.12	533,897.32

## CHALMERS TEKNISKA HOEGSKOLA AB(CHALMERS)

	Living allowance (1)	Mobility allowance (2)	Contribution to the research/ training/ transfer of knowledge programme expenses (3)	Management activities (including audit certification) (4)	Contribution to overheads (5)	Total
Year 1	101,403.00	27,218.70	48,600.00	22,500.00	19,972.17	219,693.87
Year 2	135,204.00	36,291.60	64,800.00	22,500.00	25,879.56	284,675.16
Year 3	135,204.00	36,291.60	64,800.00	22,500.00	25,879.56	284,675.16
Year 4	33,801.00	9,072.90	16,200.00	22,500.00	8,157.39	89,731.29
Total	405,612.00	108,874.80	194,400.00	90,000.00	79,888.68	878,775.48

# FINANCIAL IDENTIFICATION

PRIVACY STATEMENT

[http://ec.europa.eu/budget/execution/ftiers\\_fr.htm](http://ec.europa.eu/budget/execution/ftiers_fr.htm)

PROJECT NUMBER

PROJECT ACRONYM

## ACCOUNT NAME

ACCOUNT NAME <sup>(1)</sup>

ADDRESS

TOWN/CITY

POSTCODE

COUNTRY

CONTACT <sup>(1)</sup>

TELEPHONE

FAX

E - MAIL

## BANK

BANK NAME

BRANCH ADDRESS

TOWN/CITY

POSTCODE

COUNTRY

ACCOUNT NUMBER

IBAN<sup>(2)</sup>

REMARKS:

We certify that above information declared is complete and true.

**BANK STAMP + SIGNATURE OF BANK REPRESENTATIVE**  
**(Both Obligatory)<sup>(3)</sup>**

**DATE + SIGNATURE ACCOUNT HOLDER : (Obligatory)**

<sup>(1)</sup> The name or title under which the account has been opened and not the name of the authorized agent

<sup>(2)</sup> If the IBAN Code (International Bank account number) is applied in the country where your bank is situated

<sup>(3)</sup> It is preferable to attach a copy of recent bank statement, in which event the stamp of the bank and the signature of the bank's representative are not required. The signature of the account-holder is obligatory in all cases.

# A5: Reporting Periods

Project Number <sup>1</sup>	607842	Project acronym <sup>2</sup>	FINON
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## One Form per Project

Reporting period	From month	To month	Total estimated eligible cost	Total requested EU contribution
1	1	24	1,992,732.72	1,992,732.72
2	25	48	1,477,919.30	1,477,919.30
	1	48	3,470,652.02	3,470,652.02

## **1. Project number**

The project number has been assigned by the Commission and/or the REA as the unique identifier for your project. It cannot be changed. The project number **should appear on each page of the grant agreement preparation documents (part A and part B)** to prevent errors during its handling.

## **2. Project acronym**

Use the project acronym as given in the submitted proposal. It cannot be changed unless agreed so during the negotiations. The same acronym **should appear on each page of the grant agreement preparation documents (part A and part B)** to prevent errors during its handling.

## **3. Project title**

Use the title (no longer than 200 characters) as given in the submitted proposal. Minor corrections are possible if agreed during the negotiations. The title should be understandable to the non-specialist.

## **4. Starting date**

In case a specific starting date is requested, insert this starting date of the project. The coordinator should present during the negotiations a written justification for the requested starting date. This starting date must be after the submission of the proposal and normally two months after the end of the negotiations.

## **5. Duration**

Insert the estimated duration of the project in full months. Deviations from the duration in the original proposal must be justified in part B.

## **6. Call (part) identifier**

The Call (part) identifier is the reference number given in the call or part of the call you were addressing, as indicated in the publication of the call in the Official Journal of the European Union. You have to use the identifier given by the Commission and/or the REA in the letter opening the negotiation.

## **7. Activity code(s) most relevant to your topic**

Use as the first activity code the one set out in the letter opening the negotiation followed by the code(s) given in your proposal – if any. Changes are possible in case of material errors (for the list see <http://www.cordis.lu/fp6/activitycodes> ).

## **8. Free keywords**

Use the free keywords from your original proposal; changes and additions are possible. (maximum 100 characters including spaces, commas etc.).

## **9. Abstract**

Use the abstract from your original proposal and amend to take account of the following: you should not use more than 2,000 characters, the abstract should, at a glance, provide the reader with a clear understanding of the objectives of the project and how the objectives will be achieved, and their relevance in the context of the objectives of the specific programme and the work programme. This summary will be used as the short description of the project for the public following signature of the grant agreement and in communications to the programme management committees and other interested parties. It must therefore be short and precise and should not contain confidential information. Please use plain typed text, avoiding formulae and other special characters. If the project is written in a language other than English, please include an English version of the abstract in part B.

## **10. Participant number**

The number allocated by the Consortium to the participant for this project. The coordinator of a project is always number one.

## **11. Participant short name**

The short name chosen by the participant. This should normally not be more than 20 characters and the same short name should be used for the participant in all documents relating to the project.

## **12. Participant identity code**

To be completed when Unique Registration Facility will be operational.

## **13. Participant legal name**

Official name of participant organisation. If applicable, name under which the participant is registered in the official trade registers.

## **14. Status of validation**

If the status of validation of the participant is VALIDATED, this means the data provided in A2.1 has been validated by the Commission and/or the REA and this validated information is given in the A2.1 form.



If the information that is provided is VALIDATED but is incorrect you should provide to the Commission and/or the REA an identification fiche and recent (not older than 6 months) supporting legal documents (see [http://ec.europa.eu/budget/execution/legal\\_entities\\_en.htm](http://ec.europa.eu/budget/execution/legal_entities_en.htm)).

If the information that is provided is VALIDATED but refers to another legal entity and has no relation to your organisation you should contact the Project Officer assigned to your project.

If the status of validation is NOT VALIDATED, you should provide the Commission and/or the REA an identification fiche and recent (not older than 6 months) supporting legal documents (see [http://ec.europa.eu/budget/execution/legal\\_entities\\_en.htm](http://ec.europa.eu/budget/execution/legal_entities_en.htm)).

#### **15. Address data**

Only the fields forming the complete postal address need to be completed.

#### **16. Country**

The name of the country as commonly used.

#### **17. Legal registration number, place and date of registration**

If applicable, the organisation's legal national registration number.

#### **18. VAT number**

If applicable, the organisation's Value Added Tax (VAT) number from the VAT register.

#### **19. Legal form**

Indicate whether the organisation is Ltd, PLC, SA, GmbH...

#### **20. Legal Entity Appointed Representative (LEAR)**

The Appointed Representative is the contact point of the organisation with respect to legal information on the organisation. This person can be contacted by the Commission and/or the REA 's Legal Validation Team to provide legal documentation and maintain up-to-date legal information on the organisation. For more information see [http://cordis.europa.eu/fp7/urf\\_en.html](http://cordis.europa.eu/fp7/urf_en.html) .

#### **21. Phone and fax numbers**

Please insert the full numbers including country and city/area code. Example +32-2-2991111.

#### **22. Main categories of applicants/beneficiaries and their key rights and obligations**

See table before endnotes

#### **23. Natural person**

Natural person refers to a physical person. The place of establishment refers in this case to the habitual residence of the person.

#### **24. Public body**

Public body means any legal entity established as such by national law and international organisations.

#### **25. Non profit public body**

Non profit organisation is an organisation considered as such by national law or international law. Public body – see above.

#### **26. Profit public body**

A public body that is not considered non profit - see above.

#### **27. International organisation of European interest**

An international organisation, the majority of whose members are Member States or Associated Countries and whose principal objective is to promote scientific and technological cooperation in Europe.

#### **28. International organisation – other**

International organisation means an intergovernmental organisation other than the European Union which has legal personality under international public law, as well as any specialised agency set up by such an international organisation.

#### **29. Secondary and higher education establishment**

Organisations that deliver diplomas recognised by a country (typically universities).

#### **30. Research organisation**

Research organisation means a legal entity established as a non-profit organisation that carries out research or technological development as one of its main objectives.

#### **31. Enterprise**

Any entity engaged in an economic activity, irrespective of its legal form.

### **32. SME**

SME means micro, small and medium sized enterprise within the meaning of Recommendation 2003/361/EC in the version of 6 May 2003 (see [http://ec.europa.eu/enterprise/enterprise\\_policy/sme\\_definition/index\\_en.htm](http://ec.europa.eu/enterprise/enterprise_policy/sme_definition/index_en.htm)).

An enterprise is considered as an SME, taking into account its partner enterprises and/or linked enterprises (please see the above mentioned recommendation for an explanation of these notions and their impact on the definition), if it:

- employs fewer than 250 persons
- has an annual turnover not exceeding EUR 50 million, and/or
- an annual balance sheet total not exceeding EUR 43 million
- is autonomous

The headcount corresponds to the number of annual work units (AWU), i.e. the number of persons who worked full-time within the enterprise in question or on its behalf during the entire reference year under consideration. The work of persons who have not worked the full year, the work of those who have worked part-time, regardless of duration, and the work of seasonal workers are counted as fractions of AWU. The staff consists of:

- employees;
- persons working for the enterprise being subordinated to it and deemed to be employees under national law;
- owner-managers;
- is autonomous

partners engaging in a regular activity in the enterprise and benefiting from financial advantages from the enterprise.

ATTENTION: Apprentices or students engaged in vocational training with an apprenticeship or vocational training contract can not be included as staff. The duration of maternity or parental leaves is also not counted.

The data to apply to the financial amounts (e.g. turnover and balance sheet), as well as to the headcount of staff, are those relating to the latest approved accounting period and calculated on an annual basis. They are taken into account from the date of closure of the accounts. The amount selected for the turnover is calculated excluding value added tax (VAT) and other indirect taxes.

In the case of newly-established enterprises whose accounts have not yet been approved, the data to apply is to be derived from a bona fide estimate made in the course of the financial year. These organisations must insert "N/A" for the two questions relating to the duration and the closing date of their last approved accounting period.

### **33. Non-SME**

An enterprise that is not an SME.

### **34. Title**

Please choose one of the following: Prof., Dr., Mr., Ms.

### **35. Gender**

This information is required for statistical purposes. Please indicate with an F for female or an M for male as appropriate.

### **36. Position**

Please indicate the position in your organisation e.g. Rector, President, Chief Executive Officer, Director etc.

### **37. Department/faculty/institute/laboratory name/...**

Please indicate here the postal address for contact purposes.

### **38. Signature**

The A2.5-form needs to be signed by at least one of the authorised representatives indicated in the A2.3-form.

### **39. Security Aspect Letter**

See Appendix 4 of the Negotiation Guidance Notes.

### **40. Funding % for RTD/Innovation activities**

For research and technological development activities, the European Union financial contribution may reach a maximum of 50% of the total eligible costs.

However, for beneficiaries that are non-profit public bodies, secondary and higher education establishments, research organisations and SMEs, the rate may reach a maximum of 75% of the total eligible costs. If these beneficiaries change their status during the life of the project, this reimbursement rate shall be applicable up to the moment they lose their status.

#### **41. Indirect costs**

Indirect costs are all those eligible costs which cannot be identified by the beneficiary as being directly attributed to the project, but which can be identified and justified by its accounting system as being incurred in direct relationship with the eligible direct costs attributed to the project. They may not include any eligible costs.

#### **42. Actual indirect costs**

Beneficiaries who have an analytical accounting system to identify their indirect costs are allowed to claim actual indirect costs.

#### **43. Simplified method**

If it is in accordance with its usual accounting and management principles and practices, a beneficiary is allowed to use a simplified method of calculation of its full indirect eligible cost at the level of its legal entity. Use of such a method is only acceptable where the lack of analytical accounting or the legal requirement to use a form of cash-based accounting prevents detailed cost allocation. The simplified approach must be based on actual costs derived from the financial accounts of the period in question.

#### **44. Standard flat rate**

A beneficiary may opt for a flat rate of 20% of its total eligible costs excluding the costs for subcontracting and the costs of reimbursement of resources made available by third parties that are not used on the premises of the beneficiary

#### **45. Special transitional flat rate**

Non-profit public bodies, secondary and higher education establishments, and research organisations and SMEs, which are – due to the lack of analytical accounting – unable to identify with certainty their real indirect costs for the project, when participating in funding schemes which include research and technological development and demonstration activities, as referred to in the table of Article II.16 of the grant agreement, may opt for a flat-rate of 60% of the total direct eligible costs excluding costs for subcontracting and the costs of reimbursement of resources made available by third parties which are not used on the premises of the beneficiary. If these beneficiaries change their status during the life of the project, this flat rate shall be applicable up to the moment they lose their status.

#### **46. ICPC**

If you are participating from an International Cooperation Partner Country (ICPC), you can opt for lump sum funding instead of reimbursement of eligible costs.

#### **47. Funding % for Coordination/Support activities**

The European Union financial contribution may reach a maximum of 100% of the total eligible costs.

#### **48. Maximum reimbursement of indirect costs**

In the case of coordination and support actions, reimbursement of indirect eligible costs for every beneficiary may reach a maximum of 7% of the direct eligible costs, excluding the direct eligible costs for subcontracting and the costs of reimbursement of resources made available by third parties which are not used on the premises of the beneficiary.

#### **49. Funding % for RTD**

For research and technological development activities, the European Union financial contribution may reach a maximum of 50% of the total eligible costs.

However, for beneficiaries that are non-profit public bodies, secondary and higher education establishments, research organisations and SMEs, the rate may reach a maximum of 75% of the total eligible costs. If these beneficiaries change their status during the life of the project, this reimbursement rate shall be applicable up to the moment they lose their status.

#### **50. Account name**

The name or title under which the account has been opened and not the name of the authorised agent.

#### **51. IBAN**

If the IBAN code (International Bank Account Number) is applied in the country where your bank is situated.

#### **52. Bank stamp + signature bank representative**

The bank stamp and signature of its representative are not required if this form is accompanied by a copy of a bank statement.

#### **100. Entities composed of one or more legal entities**

European Economic Interest Group / Joint Research Unit (Unité mixte de recherche) / Enterprise groupings.