

ORIGINAL

**SEVENTH FRAMEWORK PROGRAMME OF THE  
EUROPEAN UNION**

RESEARCH EXECUTIVE AGENCY

SP3-People

Support for training and career development of researchers  
(Marie Curie)

Networks for Initial Training (ITN)

Multipartners ITN

FP7-PEOPLE-2013-ITN

**Grant Agreement Number 607842**

**FINON**

Female Investigators in Nonlinear Optical Nanoscopy - FINON

PITN-GA-2013-607842



## SEVENTH FRAMEWORK PROGRAMME

GRANT AGREEMENT No 607842

MULTI-BENEFICIARY

PROJECT TITLE FINON

Support for training and career development of researchers (Marie Curie)

Networks for Initial Training (ITN)

The **Research Executive Agency** (the "*REA*"), acting under powers delegated by the European Commission (the "*Commission*")

of the **one part**,

**and CHALMERS TEKNISKA HOEGSKOLA AB**, established in -, GOETEBORG, 41296, Sweden represented by Ulf Carlson, Head of Department and/or Bo Albinsson, Deputy Head of Department or their authorised representative, the *beneficiary* acting as "*coordinator*" of the *consortium* (the "*coordinator*"), ("*beneficiary no. 1*"),

of the **other part**

**HAVE AGREED** to the following terms and conditions including those in the following annexes, which form an integral part of this *grant agreement* (the "*grant agreement*").

Annex I - Description of Work

Annex II - General Conditions related to Support for training and career development of researchers (Marie Curie)

Annex III - Specific Provisions related to Support for training and career development of researchers (Marie Curie)

Annex IV - Form A - Accession of *beneficiaries* to the *grant agreement*

Annex V - Form B - Request for accession of a new *beneficiary* to the *grant agreement*

Annex VI - Form C - Financial statement per funding scheme

Annex VII - Form D - Terms of reference for the certificate on the financial statements and Form E - Terms of reference for the certificate on the methodology

**Article 1 - Accession to the *grant agreement* of the other *beneficiaries***

1. The *coordinator* shall endeavour to ensure that each legal entity identified below accedes to this *grant agreement* as a *beneficiary*, assuming the rights and obligations established by the *grant agreement* with effect from the date on which the *grant agreement* enters into force, by signing Form A in three originals, countersigned by the *coordinator*.

- **ASTRAZENECA AB**, established in Vastra Maelarhamnen, SODERTAELJE, 151 85, Sweden represented by Mike Snowden, Head of Discovery Sciences and/or Lorenz Mayr, VP Reagents and Assay Development, Discovery Sciences or their authorised representative ("*beneficiary no. 2*"),

- **CARDIFF UNIVERSITY**, established in NEWPORT ROAD 30-36, CARDIFF, CF24 0DE, United Kingdom represented by Geraint. W. Jones, Director of Research & Commercial Division and/or Nick Bourne, Deputy Director Commercial Development or their authorised representative ("*beneficiary no. 3*"),
- **ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE**, established in BATIMENT CE 3316 STATION 1, LAUSANNE, 1015, Switzerland represented by Benoît Deveaud-Plédran, Dear of Research and/or Luciana Vaccaro, Head of Grants Office or their authorised representative ("*beneficiary no. 4*"),
- **CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE**, established in Rue Michel-Ange 3, PARIS, 75794, France represented by Younis HERMES, Délégué Régional or his authorised representative ("*beneficiary no. 5*"),
- **MAX PLANCK GESELLSCHAFT ZUR FOERDERUNG DER WISSENSCHAFTEN E.V.**, established in Hofgartenstrasse 8, MUENCHEN, 80539, Germany represented by Udo Schreiner, Head of Administration and/or Katharina Landfester, Managing Director or their authorised representative ("*beneficiary no. 6*"),

All the *beneficiaries* together form the *consortium* (the "*consortium*").

2. The *coordinator* shall send to the *REA* one duly completed and signed Form A per *beneficiary* at the latest 45 calendar days after the entry into force of the *grant agreement*. The two remaining signed originals shall be kept, one by the *coordinator* to be made available for consultation at the request of any *beneficiary*, and the other by the *beneficiary* concerned.

3. Should any legal entity identified above, fail or refuse to accede to the *grant agreement* within the deadline established in the previous paragraph, the *REA* is no longer bound by its offer to the said legal entity(ies). The *consortium* may propose to the *REA*, within the time-limit to be fixed by the latter, appropriate solutions to ensure the implementation of the *project*. The procedure established in Annex II for amendments to this *grant agreement* will apply.

## Article 2 - Scope

The European Union ("*the Union*"), has decided to grant a financial contribution for the implementation of the *project* as specified in Annex I, called *Female Investigators in Nonlinear Optical Nanoscopy - FINON (FINON)* (the "*project*") within the framework of the *SP3-People* and under the conditions laid down in this *grant agreement*.

## Article 3 - Duration and start date of the project

The duration of the *project* shall be 48 months from 1st October 2013 (hereinafter referred to as the "*start date*").

## Article 4 - Reporting periods and language of reports

The *project* is divided into reporting periods of the following duration:

- P1: from month 1 to month 24
- P2: from month 25 to the last month of the *project*.

01/10/2015

UC

Any report and deliverable, when appropriate, required by this *grant agreement* shall be in *English*.

#### Article 5 - Maximum financial contribution of *the Union*

1. The maximum financial contribution of *the Union* to the *project* shall be EUR 3,470,652.02 (*three million four hundred and seventy thousand six hundred and fifty two EURO and two cents*). The actual financial contribution of *the Union* shall be calculated in accordance with the provisions of this *grant agreement*.

2. Details of the financial contribution of *the Union* are contained in Annex I to this *grant agreement* which includes:

- a table of the estimated breakdown of budget and financial contribution of *the Union* per activity to be carried out by each of the *beneficiaries* under the *project*. *Beneficiaries* are allowed to transfer budget between different activities and between themselves in so far as the work is carried out as foreseen in Annex I.

3. The bank account of the *coordinator* to which all payments of the financial contribution of *the Union* shall be made is:

Name of account holder: Chalmers tekniska hoegskola AB

Name of bank: NORDEA BANK AB

Account reference: SE893000000039687744154

#### Article 6 - Pre-financing

A *pre-financing* of EUR 2,255,923.81 (*two million two hundred and fifty five thousand nine hundred and twenty three EURO and eighty one cents*) shall be paid to the *coordinator* within 30 days following the start date of the *project*. The *coordinator* shall distribute the *pre-financing* only to the *beneficiaries* who have acceded to the *grant agreement* and after the minimum number of *beneficiaries* required by the *Rules for Participation* as detailed in the call for proposals to which the *project* is related, have acceded to the *grant agreement*.

*Beneficiaries* hereby agree that the amount of EUR 173,532.60 (*one hundred and seventy three thousand five hundred and thirty two EURO and sixty cents*), corresponding to the *beneficiaries'* contribution to the Guarantee Fund referred to in Article II.19 and representing 5% of the maximum financial contribution of *the Union* referred to in Article 5.1, is transferred in their name by the *REA* from the *pre-financing* into the Guarantee Fund. However, *beneficiaries* are deemed to have received the full *pre-financing* referred to in the first indent and will have to justify it in accordance with the *grant agreement*.

#### Article 7 - Special clauses

The following special clauses apply to this *grant agreement*:

##### Special clause 5bis

1. A *project* review shall be held at a mid-term stage.

2. At least two months before the date of the review the *REA* shall communicate to the *consortium* in accordance with Article 8, the modalities of the *project* review, including, where appropriate, any meeting it may propose to convene and that it may request the *consortium* to organise. Each *beneficiary* is requested by the *REA* to attend such meeting in accordance with Article II.3.h.

Costs incurred by the *consortium* in relation to the *project* review shall be eligible under the activity referred to in Article II.15.5.

3. The *project* review shall be made on the basis of the satisfactory completion of due deliverables, milestones listed in Annex I as well as on the progress reported in the periodic report for the period considered.

#### Article 8 - Communication

1. Any communication or request concerning the *grant agreement* shall identify the *grant agreement* number, the nature and details of the request or communication and be submitted to the following addresses:

For the *REA*:                Research Executive Agency  
Host-Driven Actions  
COV 2, B-1049 Brussels, Belgium

For the *coordinator*: Ms. Catrine Andersson  
CHALMERS TEKNISKA HOEGSKOLA AB  
Department of Chemical and Biological Engineering  
-  
GOETEBORG 41296  
SWEDEN

2. Reports and deliverables shall be transmitted to the *REA* according to Article II.4.5.

3. For information or documents to be transferred by e-mail, the following addresses shall be used:

For the *REA*: <http://ec.europa.eu/research/participants/portal/appmanager/participants/portal>

For the *coordinator*: [enejder@chalmers.se](mailto:enejder@chalmers.se)

4. In case of refusal of the notification or absence of the recipient, the *beneficiary* or the *consortium*, as the case may be, is deemed to have been notified on the date of the latest delivery, if notification to the *coordinator* has been sent to one of the addresses mentioned in paragraphs 1 and 3 and to their legal representative. Other *beneficiaries* are deemed to have been notified if notification has been sent to the address mentioned in Article 1.1.

5. Any communication or request relating to the processing of personal data (Article II.13) shall be submitted, using the address(es) for the *REA* identified in paragraphs 1 and 3, to the controller responsible for the processing: Head of Unit of Host-Driven Actions.

#### Article 9 - Applicable law and competent court

The financial contribution of the *Union* is a contribution from the *Union* research budget with the aim to implement the 7th Research Framework Programme (FP7) and it is incumbent on the *REA* and the *Commission* to execute FP7. Accordingly, this *grant agreement* shall be governed by the terms of this *grant agreement*, the European Community and European Union acts related to FP7, the *Financial Regulation* applicable to the general budget of the *Union* and its *Rules of Application* and other European Community and European Union law and, on a subsidiary basis, by the law of Belgium.

Furthermore the *beneficiary* is aware and agrees that the Commission may take a decision to impose pecuniary obligations, which shall be enforceable in accordance with Article 299 of the Treaty on the Functioning of the European Union.

Notwithstanding the *Commission's* right to directly adopt the recovery decisions referred to in the previous paragraph, the General Court, or on appeal, the Court of Justice of the European Union, shall have sole jurisdiction to hear any dispute between *the Union* and any *beneficiary* concerning the interpretation, application or validity of this *grant agreement* and the validity of the decision mentioned in the second paragraph.

## **Article 10 - Application of the *grant agreement* provisions**

Any provision of this part of the *grant agreement*, shall take precedence over the provisions of any of the Annexes. The provisions of Annex III shall take precedence over the provisions of Annex II, and both shall take precedence over the provisions of Annex I.

The special clauses set out in Article 7 shall take precedence over any other provisions of this *grant agreement*.



Article 11 - Entry into force of the grant agreement

This grant agreement shall enter into force after its signature by the coordinator and the REA, on the day of the last signature.

Done in two originals in English.

For the coordinator done at GOETEBORG

For the REA done at Brussels

Chalmers tekniska högskolan AB  
Name of the legal entity

Jean-Bernard VEYRET  
Head of Unit

Ulf Carlsson  
Name of the legal representative

P.O. MARIA SILVIAGIANNONI  
Name of the legal representative



CHALMERS UNIVERSITY OF TECHNOLOGY  
Department of Chemical and Biological Engineering  
S-412 96 GOTHENBURG  
Sweden

Stamp of the organisation (if applicable)

[Signature]  
Signature of legal representative

[Signature]  
Signature of legal representative

1 July 2013  
Date

25 JUL. 2013  
Date



[Handwritten initials]

**FP7 GRANT AGREEMENT**

**ANNEX IV - FORM A - ACCESSION OF BENEFICIARIES TO THE GRANT AGREEMENT**

**ASTRAZENECA AB**, represented for the purpose hereof by Mike Snowden, Head of Discovery Sciences, and/or Lorenz Mayr, VP Reagents and Assay Development, Discovery Sciences, or her/his/their authorised representative, established in Vastra Maclarhamnen, SODERTAELJE, 151 85, Sweden acting as its legal authorised representative, hereby consents to become a *beneficiary* ("beneficiary no. 2") to grant agreement N° 607842 (relating to project "Female Investigators in Nonlinear Optical Nanoscopy - FINON") concluded between the *Research Executive Agency (REA)* and **CHALMERS TEKNISKA HOEGSKOLA AB** established in -, GOETEBORG, 41296, Sweden and accepts in accordance with the provisions of the aforementioned *grant agreement* all the rights and obligations of a *beneficiary*.

Sweden acting as its legal authorised representative, hereby consents to become a *beneficiary* ("beneficiary no. 2") to grant agreement N° 607842 (relating to project "Female Investigators in Nonlinear Optical Nanoscopy - FINON") concluded between the *Research Executive Agency (REA)* and **CHALMERS TEKNISKA HOEGSKOLA AB** established in -, GOETEBORG, 41296, Sweden and accepts in accordance with the provisions of the aforementioned *grant agreement* all the rights and obligations of a *beneficiary*.

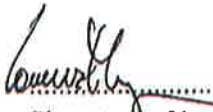
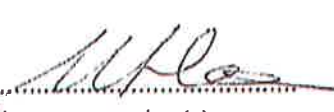
Done in 3 copies, of which one shall be kept by the *coordinator* and one by **ASTRAZENECA AB**, the third being sent to the *REA* by the coordinator in accordance with Articles 1.1 and 1.2 and Article 8 of the grant agreement.

ASTRAZENECA AB

CHALMERS TEKNISKA HOEGSKOLA AB

LORENZ MAYR      MI SNOWDEN  
Name of legal representative(s)

Ulf Carlsson  
Name of legal representative(s)

   
Signature of legal representative(s)

  
Signature of legal representative(s)

Date  
13.8.13

13 July 2013  
Date

Stamp of the organisation



Stamp of the organisation



**CHALMERS UNIVERSITY OF TECHNOLOGY**  
Department of Chemical and Biological Engineering  
S-412 96 GOTHENBURG  
Sweden



**FP7 GRANT AGREEMENT**

**ANNEX IV - FORM A - ACCESSION OF BENEFICIARIES TO THE GRANT AGREEMENT**

**CARDIFF UNIVERSITY**, represented for the purpose hereof by Geraint. W. Jones, Director of Research & Commercial Division, and/or Nick Bourne, Deputy Director Commercial Development, or her/his/their authorised representative, established in NEWPORT ROAD 30-36, CARDIFF, CF24 0DE, United Kingdom acting as its legal authorised representative, hereby consents to become a *beneficiary* ("*beneficiary no. 3*") to grant agreement N° 607842 (relating to project "*Female Investigators in Nonlinear Optical Nanoscopy - FINON*") concluded between the *Research Executive Agency (REA)* and **CHALMERS TEKNISKA HOEGSKOLA AB** established in -, GOETEBORG, 41296, Sweden and accepts in accordance with the provisions of the aforementioned *grant agreement* all the rights and obligations of a *beneficiary*.

Done in 3 copies, of which one shall be kept by the *coordinator* and one by **CARDIFF UNIVERSITY**, the third being sent to the *REA* by the coordinator in accordance with Articles 1.1 and 1.2 and Article 8 of the grant agreement.

**CARDIFF UNIVERSITY**

**CHALMERS TEKNISKA HOEGSKOLA AB**

**Geraint W. Jones**  
**Director**

*Ulf Carlsson*

.....**Research, Innovation & Enterprise Services**  
Name of legal representative(s)

.....  
Name of legal representative(s)

*Geraint W Jones*  
.....  
Signature of legal representative(s)

*Ulf Carlsson*  
.....  
Signature of legal representative(s)

*04.07.2013*  
.....  
Date

*13 July 2013*  
.....  
Date

.....  
Stamp of the organisation



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Stamp of the organisation



**CHALMERS UNIVERSITY OF TECHNOLOGY**  
Department of Chemical and Biological Engineering  
S-412 96 GOTHENBURG

**FP7 GRANT AGREEMENT**

**ANNEX IV - FORM A - ACCESSION OF BENEFICIARIES TO THE GRANT AGREEMENT**

**ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE**, represented for the purpose hereof by Benoît Deveaud-Plédran, Dean of Research, and/or Luciana Vaccaro, Head of Grants Office, or her/his/their authorised representative, established in BATIMENT CE 3316 STATION 1, LAUSANNE, 1015, Switzerland acting as its legal authorised representative, hereby consents to become a *beneficiary* ("*beneficiary no. 4*") to *grant agreement* N° 607842 (relating to *project "Female Investigators in Nonlinear Optical Nanoscopy - FINON"*) concluded between the *Research Executive Agency (REA)* and **CHALMERS TEKNISKA HOEGSKOLA AB** established in -, GOETEBORG, 41296, Sweden and accepts in accordance with the provisions of the aforementioned *grant agreement* all the rights and obligations of a *beneficiary*.

Done in 3 copies, of which one shall be kept by the *coordinator* and one by **ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE**, the third being sent to the *REA* by the coordinator in accordance with Articles 1.1 and 1.2 and Article 8 of the grant agreement.

**ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE**

Benoît Deveaud-Plédran  
Dean of Research

.....  
Name of legal representative(s)

.....  
Signature of legal representative(s)

18 JUL. 2013

.....  
Date



**CHALMERS TEKNISKA HOEGSKOLA AB**

Ulf Carlson

.....  
Name of legal representative(s)

.....  
Signature of legal representative(s)

13 July 2013

.....  
Date



.....  
Stamp of the organisation

**CHALMERS UNIVERSITY OF TECHNOLOGY**  
Department of Chemical and Biological Engineering  
S-412 96 GOTHENBURG  
Sweden

**FP7 GRANT AGREEMENT**

**ANNEX IV - FORM A - ACCESSION OF BENEFICIARIES TO THE GRANT AGREEMENT**

**CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE**, represented for the purpose hereof by Younis HERMES, Délégué Régional, or his authorised representative, established in Rue Michel -Ange 3, PARIS, 75794, France acting as its legal authorised representative, hereby consents to become a *beneficiary* ("*beneficiary no. 5*") to *grant agreement* N° 607842 (relating to *project "Female Investigators in Nonlinear Optical Nanoscopy - FINON"*) concluded between the *Research Executive Agency (REA)* and CHALMERS TEKNISKA HOEGSKOLA AB established in -, GOETEBORG, 41296, Sweden and accepts in accordance with the provisions of the aforementioned *grant agreement* all the rights and obligations of a *beneficiary*.

Done in 3 copies, of which one shall be kept by the *coordinator* and one by **CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE**, the third being sent to the *REA* by the coordinator in accordance with Articles 1.1 and 1.2 and Article 8 of the grant agreement.

**CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE**

**CHALMERS TEKNISKA HOEGSKOLA AB**

.....  
Name of legal representative(s)

Le Délégué Régional  
Younis HERMES

.....  
Name of legal representative(s)

Ulf Carlsson

Ulf Carlsson

.....  
Signature of legal representative(s)

.....  


.....  
Signature of legal representative(s)

.....  
13 July 2013

.....  
Date

- 5 JUL. 2013

.....  
Date

.....  
Stamp of the organisation



**CHALMERS UNIVERSITY OF TECHNOLOGY**  
Department of Chemical and Biological Engineering  
Stamp of the organisation  
Sweden

**FP7 GRANT AGREEMENT**

**ANNEX IV - FORM A - ACCESSION OF BENEFICIARIES TO THE GRANT AGREEMENT**

**MAX PLANCK GESELLSCHAFT ZUR FOERDERUNG DER WISSENSCHAFTEN E.V.**, represented for the purpose hereof by Udo Schreiner, Head of Administration, and/or Katharina Landfester, Managing Director, or her/his/their authorised representative, established in Hofgartenstrasse 8, MUENCHEN, 80539, Germany acting as its legal authorised representative, hereby consents to become a *beneficiary* ("beneficiary no. 6") to grant agreement N° 607842 (relating to project "Female Investigators in Nonlinear Optical Nanoscopy - FINON") concluded between the *Research Executive Agency (REA)* and CHALMERS TEKNISKA HOEGSKOLA AB established in -, GOETEBORG, 41296, Sweden and accepts in accordance with the provisions of the aforementioned grant agreement all the rights and obligations of a *beneficiary*.

Done in 3 copies, of which one shall be kept by the *coordinator* and one by **MAX PLANCK GESELLSCHAFT ZUR FOERDERUNG DER WISSENSCHAFTEN E.V.**, the third being sent to the *REA* by the coordinator in accordance with Articles 1.1 and 1.2 and Article 8 of the grant agreement.

MAX PLANCK GESELLSCHAFT ZUR  
FOERDERUNG DER WISSENSCHAFTEN  
E.V.

CHALMERS TEKNISKA HOEGSKOLA AB

UDO SCHREINER

Name of legal representative(s)

Ulf Carlson

Name of legal representative(s)

Signature of legal representative(s)

Signature of legal representative(s)

03.07.2013

Date

13 July 2013

Date

Stamp of the organisation



CHALMERS UNIVERSITY OF TECHNOLOGY  
Department of Chemical and Biological Engineering  
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Sweden

Stamp of the organisation